: 2

Ę

į sk

I'm Kall that Illu ...

1

The state of

4 <u>Patent Application Transmittal</u>

(only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Correspondence Address: FROMMER LAWRENCE & HAUG LLP

745 FIFTH AVENUE NEW YORK, NEW YORK 10151

TEL: (212) 588-0800 FAX: (212) 588-0500

> Date: ___July 23, 2001 Attorney Docket No.: ___450100-03357

ASSISTANT COMMISSIONER FOR PATENTS Box Patent Application Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office of an application for patent in the name(s) of:

Takeshi SUZUKI, Shigeho OGAWA, Yoshinori SHIMIZU, Hiromasa WATANABE, Takashi TAJIRI, Nobuyuki AOKI, Shinji NAGASAKA

entitled:

DISK RECORDING AND/OR REPRODUCING APPARATUS AND CONTROL METHOD THEREOF

The following are enclosed:

- X Specification (66 pages)
- X 20 Sheet(s) of Drawings
- Claim(s) (including _ _27_ 2 _ independent claim(s)) This application contains a multiple dependent claim
- X Our check for \$836.00, calculated on the basis of the claims as amended by any enclosed preliminary amendment as follows:

Basic Fee, \$710.00 (\$355.00) Number of Claims in excess of 20 at \$18.00 (\$9.00) each: 7 . . . 126.00 Number of Independent Claims in excess of 3 at \$80.00 (\$40.00) each: . -0-Multiple Dependent Claim Fee at \$270.00 (\$135.00) <u>-0-</u> Total Filing Fee -0-

X Oath or Declaration and Power of Attorney

X New __ signed X unsigned
Copy from a prior application (37 C.F.R. 1.63(d))

X Certified copy of each of the following application(s) to substantiate the claim(s) for priority made in the Declaration:

Application No.

Filed

In

2000-222759

24 July 2000

Japan

Please charge any additional fees required for the filing of this application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP Attorneys for Applicants

By: Dennis M. Smid

Reg. No. 34,930

J:\SONY\03357\53BAPUNS.DS (WSF:sa)



FROM ER-LAWRENCE & HAUG LLP

745 FIFTH AVENUE NEW YORK, NEW YORK 10151

WILLIAM S. FROMMER WILLIAM F. LAWRENCE EDGAR H. HAUG MATTHEW K. RYAN

MATTHEW K. RYAN BARRY S. WHITE THOMAS J. KOWALSKI

JOHN R. LANE
DENNIS M. SMID*
DANIEL G. BROWN

BARBARA Z. MORRISSEY STEVEN M. AMUNDSON MARILYN MATTHES BROGAN

James K. Stronski Charles J. Raubicheck Grace L. Pan* Gordon Kessler

Gordon Kessler Mark W. Russell* Jeffrey A. Hovden

A. Thomas S. Safford Jerome-Rosenstock Raymón R. Wittekind, Ph.D. Susan K. Lehnhardt, Ph.D. Richard E. Parke

RICHARD E. PARKE Of Counsel

Brung **E**olito Joe H. Shallenburger Christian M. Smolizza Glenn F. Savit

ROBERT E. COLLETTI DEXTER T. CHANG LINDSEY A. MÖHLE

DEENA P. LEVY
DARREN M. SIMON
JOHN & TAYLOR

DAVID A. ZWALLY SAMUEL H. MEGERDITCHIAN KEVIN MURPHY

TERRI-LEE YOUNG
PEARL TENG LING SIEW
RICHARD A. MASTROCOLA
DAMON TREITLER

TEDD VAN BUSKIRK
*Admitted to a Bar
other than New York

July 23, 2001

Assistant Commissioner for Patents Washington, D.C. 20231

Re: U.S. Patent Application

Applicants: Takeshi SUZUKI, Shigeho OGAWA, Yoshinori SHIMIZU, Hiromasa WATANABE, Takashi TAJIRI, Nobuyuki AOKI, Shinji

NAGASAKA

Our Ref.: 450100-03357

Dear Sir:

Enclosed are papers constituting the above patent application which is being filed under 37 C.F.R. 1.53 without a signed Declaration. Please accord a filing date and a serial number to such application and inform the undersigned thereof so that a signed Declaration and the surcharge required by 37 C.F.R. 1.16(e) may be duly filed.

Please address all correspondence to:

William S. Frommer, Esq.
FROMMER LAWRENCE & HAUG LLP
745 Fifth Avenue
New York, New York 10151

Respectfully,

Dennis M. Smid Reg. No. 34,930

Attorney for Applicants

Enclosures

"Express	Mail"	mailing	label	number	EL819	91650)51US	
Date of I	Deposit				July	23,	2001	

I hereby certify that this paper or fee, and a patent application and accompanying papers, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)